(Incorporated in the Cayman Islands with limited liability)
(Stock Code: 2171)

18 March 2024

## **Arrangement of Electronic Dissemination of Corporate Communications**

Pursuant to Rule 2.07A of the Rules Governing The Listing of Securities on The Stock Exchange of Hong Kong Limited (the "Listing Rules") regarding the expansion of paperless listing regime and electronic dissemination of corporate communications that came into effect on 31 December 2023, CARsgen Therapeutics Holdings Limited (the "Company") has adopted electronic dissemination of corporate communications (the "Corporate Communications") (Note 1), to its shareholders (the "Shareholders") and will only send Corporate Communications in printed form to Shareholders upon request.

1. Actionable Corporate Communications<sup>(Note 2)</sup>

The Company will send the Actionable Corporate Communications to its Shareholders individually in electronic form by email. If the Company does not possess the email address of a Shareholder or the email address provided is not functional, the Company will send the Actionable Corporate Communications in printed form together with a reply form for soliciting the Shareholder's functional email address to facilitate electronic dissemination of Actionable Corporate Communications in the future.

2. Corporate Communications (other than Actionable Corporate Communications)

The Company will make available electronically both the English and Chinese versions of all future Corporate Communications on the website of the Company at <a href="https://www.carsgen.com">www.carsgen.com</a> (the "Website Version") and the HKEXnews website at <a href="https://www.hkexnews.hk">www.hkexnews.hk</a> in place of printed copies.

However, until the Company has amended its articles of association, which is subject to Shareholders' approval and is expected to be considered at the annual general meeting proposed to be convened in May 2024, a notice of publication of the Website Version<sup>(Note 3)</sup> of Corporate Communications, in both English and Chinese, will be sent by the Company to Shareholders by email or by post (if in the case the Company does not possess a functional<sup>(Note 4)</sup> email address of a Shareholder) on the publication date of the Corporate Communications.

## Solicitation of electronic contact details

To ensure timely receipt of the latest Corporate Communications, the Company recommends the Shareholders provide their email address by signing and returning the enclosed reply form (the "**Reply Form**") to the Company's Hong Kong share registrar (the "**Share Registrar**"), Computershare Hong Kong Investor Services Limited, at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong.

If the Share Registrar does not receive the duly completed and signed Reply Form or any response in writing indicating any objection, or the Share Registrar does not receive a functional email address in the Shareholders' reply, until the Shareholders inform the Share Registrar by reasonable prior notice in writing at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong, or by email to <a href="mailto:carsgen.ecom@computershare.com.hk">carsgen.ecom@computershare.com.hk</a>, the Shareholders are deemed to have consented to receive the Website Version of all future Corporate Communications, and a notification of the publication of the Corporate Communications (until the Company has amended its articles of association, which is subject to Shareholders' approval and is expected to be considered at the annual general meeting proposed to be convened in May 2024) or all Actionable Corporate Communications will be sent to the Shareholders by post at their address as appearing on the register of members maintained by the Share Registrar or at any other address they have provided to us for such purpose.

If the Shareholders want to receive the Corporate Communications in printed form, they need to complete the enclosed Reply Form and send it to the Share Registrar or send an email to <a href="mailto:carsgen.ecom@computershare.com.hk">carsgen.ecom@computershare.com.hk</a> specifying name, address and request to receive the Corporate Communications in printed form. Please note that such instruction shall be valid for one year starting from the receipt date of such instruction and will expire thereafter.

Yours faithfully, By order of the Board CARsgen Therapeutics Holdings Limited Dr. Zonghai LI Chairman

## Notes:

- 1. Corporate Communications include any documents issued or to be issued by the Company, including but not limited to (a) the directors' report, its annual accounts together with a copy of the auditors' report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular and (f) a proxy form.
- 2. Actionable Corporate Communication is any corporate communication that seeks instructions from issuer's securities holders on how they wish to exercise their rights or make an election as the issuer's securities holder.
- 3. The version of Corporate Communications being published, in both English and Chinese, on the Company's website.
- 4. It is the responsibility of a shareholder to provide email address that is functional. The Company will be considered to have complied with the Listing Rules if it sends Corporate Communications to the email address provided by a shareholder without receiving any "non-delivery message".

### REPLY FORM 回條

Computershare Hong Kong Investor Services Limited / Hong Kong Registrars Limited (The "Share Registrar")

17M Floor, Hopewell Centre

183 Queen's Road East, Wanchai, Hong Kong

(Please choose ONLY ONE of the options below)

(請<u>從以下選項中**只選擇其中一項**)</u>

香港中央證券登記有限公司 /

香港證券登記有限公司 (「股份過戶處」)

香港灣仔皇后大道東 183 號 合和中心 17M 樓

Personalized QR Code 專屬二維碼

Option 1: Provide your email address for receipt of future Corporate Communications\* of

the Company via electronic dissemination by scanning your personalized QR code 掃描 閣下專屬二維碼提供 閣下之電子郵件地址,以接收公司通過電子方式

選項1: 發布的未來公司通訊\*

> You are **NOT required** to return this Reply Form if you choose Option 1. 如選擇了選項 1 , 閣下無須交回本回條。

Option 2: I/we hereby provide my/our email address in writing for receipt of future Corporate Communications* of the following listed company (the "Company") via electronic dissemination		
選項 2: 本人/吾等現以書面提供本人/吾等之的電子郵件地址,	以確保收到以下上市公司(「公司」)通過電	₹子方式發布的未來公司通訊 <sup>₹</sup>
Name of Securities holder(s) 證券持有人姓名:	Name of the listed company 上市公司名稱:	
CARsgen Therapeutics Holdings Limited 科濟藥業控股有限公司		
Email address 電郵地址: (Notes 3 / 附註3)		
Option 3:       I/we hereby request for receipt of Corporate Communications* in printed form (Please mark "✓" in the below box if applicable)         選項 3:       本人/吾等現要求收取公司通訊*印刷版 (如適用・請在以下方格內劃上「✓」號 )		
receive future Corporate Communications* in printed copy and noted that this instruction is valid only for one year starting from the receipt date of instruction. (Notes 5) 收取未來公司通訊*的印刷本·並已知悉本指示由收取指示日期起計一年內有效。(附註5)		
Signature(s): (Notes 1)	Contact number:	Date:
簽名: <sup>(附註 1)</sup>	聯絡電話號碼:	日期:
Notes 附註:		

- Notes 別註.

  1. Please complete all your details clearly. If your shares are held in joint names, all of the joint shareholders should jointly sign this Reply Form in order to be valid. 諸清楚填受 閣下之所有資料。如屬聯名股東・則本回條須由所有聯名股東聯合簽署・方為有效。

  2. Any Reply Form with no signature or otherwise incorrectly completed will be void. 任何回條若未利養養殖在其他方面與各不上極,則本回條須由所有聯名股東等級。

  3. If the Company does not receive a functional email address in your reply, you will be unable to receive notifications regarding the publication of Corporate Communications®. 如公司没有效到 關下的有效養子學件地址。關下將無法收到有關發充公司通訊等的通知。

  4. If you provide more than one email address by QR code, email, reply form and/or other means, only the latest one email address provided will be registered. 如 關下通過二維碼。電影、回條及/或其他方式提供多於一個的電子郵件地址、只有 關下最後提供的電子郵件地址將會被用於登記。

  5. If you mark \*\*\* in the box in Option 3, no email address will be registered and only Corporate Communications® in printed form will be received. 如 關下在通過了方格內劃上 \*\* 」號、將不會海電子每件地址被登記、只會收取公司通訊\*的印刷版。

  6. For the avoidance of doubt, the Company does not accept any other instructions given on this Reply Form. 海兔存發,在本回線上的任何翻外指示、公司將不予處理。

- Unless otherwise specified, Corporate Communications refer to any documents issued or to be issued by the Company for the information or action of holders of any of its securities, including but not limited to the annual report, interim report, notice of meeting, circular and proxy form. 餘非另有註明:公司通訊乃格公司已發出經絡予發出以供其任何證券的持有人參照或採取行動的任何文件,其中包括但不限於年報、中期報告、會議通告、通函及代表委任表格。

# PERSONAL INFORMATION COLLECTION STATEMENT 收集個人資料聲明

- 個人資料聲明

  "Personal Data" in this statement has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong ("PDPO").

  本聲明中所指的「個人資料」與香港法例第 486章(個人資料(私隱)條例》(「《私隱條例》」)中「個人資料」的涵養相同。

  Your Personal Data provided in this Reply Form will be used in connection with, including but not limited to, the Company's electronic dissemination of Corporate Communications\* and to liaise with you on other matters relating to your holdings in the Company, Your supply of Personal Data to the Company is on a volunty basis. In case of a failure to provide sufficient information, the Company may not be able to process your instruction and/or request as stated in this Reply Form.

  圖下於本DeeK所提供的個人資料等用於(包括但不限於)有關公司以電子方式發布公司通訊\*及就 關下持有的公司證券有關的其他事宜上與 關下聯絡。 關下是自顧向本公司提供個人資料。若 關下未能提供足夠資料。本公司可能無法處理 關下在本回條上所述的指示及/可要求。

  Your Personal Data may be disclosed or transferred by the Company to its subsidiaries, the Share Registrar, and/or other companies or bodies for any of the stated purposes, or when it is required to do so by law and will be retained for such period as may be necessary for our verification and record purposes.

  公司可能任何所說明的用途或在法例規定的情况下,將 圖下的個人資料披露或轉移給公司的附屬公司、股份過戶處。 及/或其他公司或團體・並將在適當期間保留該等個人資料核實及紀錄用途。

  You have the right to request access to and/or correction of your Personal Data in an accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data should be in writing, by mall to the Hong Kong Privacy Officer of the Share Registrar at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong or by e mail at PrivacyOfficer® computershate.com.hik.

  图下有槽根镜像 《品藤格》的解文查閱及或修改 简下的图人资料。任何該等查閱及或修改图人或修改图人或修改图人或解析的更多可以使用的一个多数分配的是一个可以使用的
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Mailing Label 郵客標籤 Computershare Hong Kong Investor Services Limited

香港中央證券登記有限公司

Freepost No. 簡便回郵號碼:37 Hong Kong 香港

Please cut the mailing label and stick it on an envelope to return this form to us.

No postage is necessary if posted in Hong Kong.

當 閣下寄回此回條時·請將郵寄標籤剪貼於信封上 如在本港投寄· 閣下無需支付郵費或貼上郵票。