

# Multicenter Phase 1b Trial of Salvage CT041 CLDN18.2-specific Chimeric Antigen Receptor T Cell Therapy for Patients with Advanced Gastric and Pancreatic Adenocarcinoma

Gregory P. Botta, MD, PhD<sup>1\*</sup>; Carlos Becerra, MD<sup>2</sup>; Zhaohui Jin, MD<sup>3</sup>; Dae Won Kim, MD<sup>4</sup>; Dan Zhan, MD, PhD<sup>5</sup>; Heinz-Josef Lenz, MD<sup>6</sup>; Hong Ma, MD<sup>7</sup>; Audrey Ween<sup>1</sup>, BS; Petra Acha, BS<sup>7</sup>; Zonghai Li, MD, PhD<sup>7</sup>; Harry H. Yoon, MD<sup>3</sup>. <sup>1</sup>University of California San Diego, San Diego, CA; <sup>2</sup>Baylor Scott & White Charles A. Sammons Cancer Center, Dallas, TX; <sup>3</sup>Mayo Clinic, Rochester, MN; <sup>4</sup>H. Lee Moffitt Cancer Center & Research Institute, Tampa, FL; <sup>5</sup>The University of Texas MD Anderson Cancer Center, Houston, TX; <sup>6</sup>University of Southern California, Los Angeles, CA; <sup>7</sup>CARsgen Therapeutics, Inc, Houston, TX; \*Corresponding author: [gbotta@health.ucsd.edu](mailto:gbotta@health.ucsd.edu)

## Background

- Claudin 18.2 (CLDN18.2) is a highly selective and expressed cell surface marker in advanced gastric cancer (GC) and pancreatic cancer (PC).<sup>1</sup>
- Results from an ongoing trial in an Asian population using CLDN18.2 CAR T cells (CT041) showed an objective response rate (ORR) of ~60% in subjects with GC.<sup>2</sup>

## Methods

- Single-arm, open-label, phase 1b trial in 6 centers in the U.S (NCT04404595).
- CLDN18.2 positive patients who had GC with ≥ 2 prior lines of systemic therapy or PC with ≥ 1 prior line were enrolled.
- CT041 were manufactured per **Figure 1**.
- Fludarabine, cyclophosphamide, and nab-paclitaxel (100 mg or 100 mg/m<sup>2</sup>; FNC) preconditioning prior to CT041 infusion
- Tumor response was assessed per RECIST 1.1; CRS and ICANS by ASTCT criteria; AEs per CTCAE v5.0

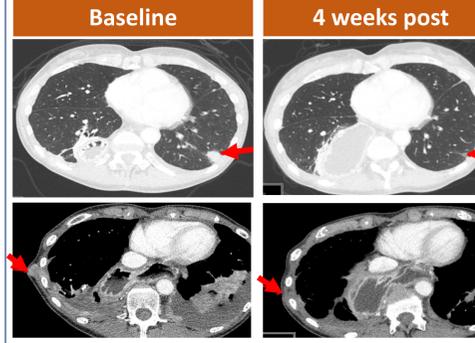
## Key Findings

- (1) Safety
  - No dose-limiting toxicities or treatment-related deaths
  - No ≥ Grade 3 CRS (Table 1), ICANS, GI bleed or acute gastric mucosal injury
  - Preconditioning did not increase hematologic toxicities
  - Tocilizumab given for Grade 2 CRS in two patients
- (2) Efficacy
  - Dose-dependent responses:
    - DL1: 16.7% ORR, 50% DCR
    - DL2: 33.3% ORR, 83.3% DCR
  - ORR = 60% in GC (Table 2)
  - Tumor shrinkage in 80% (4 of 5) of patients with SD (4 PCs)
  - CAR T expansion correlated with ctDNA reduction
  - Median duration of response and progression-free survival have not been reached
  - Two patients in DL3 did not have tumor response assessments by the data cut-off date

## Imaging of Two Confirmed PR Patients at Dose Level 2



**Figure 2A.** A 48-year-old female with diffuse metastatic GC was previously treated with FOLFOX, FOLFIRI, capecitabine, zolbetuximab (CLDN18.2 antibody), pembrolizumab + cabozantinib, and nab-paclitaxel. CLDN18.2 IHC showed 2+ 5% and 3+ 95%. CT showed a 7.6 cm mass extended through stoma to the exterior abdominal surface. CT 4 weeks post CT041 showed significant tumor reduction in exterior stoma lesions.



**Figure 2B.** A 62-year-old female with invasive, moderately differentiated, metastatic GEJ cancer after 2 prior lines of therapy. CLDN18.2 IHC showed 2+ 20% and 3+ 80%. CT scans confirmed the disappearance of one target lesion and a significant reduction in the other two target lesions in the chest (one shown) 4 weeks post CT041.

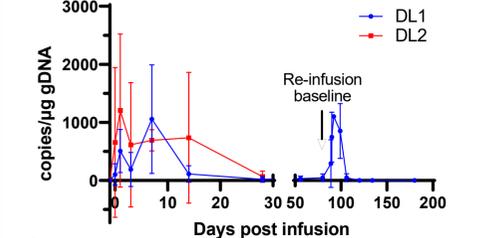
Parameter	Value
Patients with CRS, n (%)	13 (93)
Onset post, days (range)	2 (1-3)
Duration, days (range)	2 (1-8)
CRS Grade 1, n (%)	11 (79)
CRS Grade 2, n (%)	2 (14)

	GC (n=5), n (%)	PC (n=7), n (%)
CR	1 (20)	0 (0)
PR	2 (40)	0 (0)
SD	1 (20)	4 (57.1)
PD	1 (20)	3 (42.9)

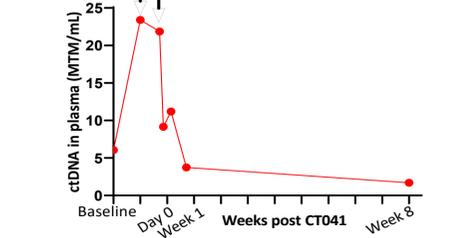
Abbreviations: AE=adverse event; ASTCT= American Society for Transplantation and Cellular Therapy; AUC=area under the curve; CAR=Chimeric Antigen Receptor; CLDN18.2=claudin18.2; CR=Complete response, CRS=Cytokine release syndrome; ctDNA=circulating tumor DNA; CTCAE= Common Terminology Criteria for Adverse Events; DCR=disease control rate; DL=dose level; FNC=Fludarabine, cyclophosphamide, and nab-paclitaxel; GC=gastric cancer; GEJ=gastroesophageal junction cancer; GI=gastrointestinal; ICANS=Immune effector cell-associated neurotoxicity syndrome; IHC= Immunohistochemistry; ORR=objective response rate; PC=pancreatic cancer; PD=progressive disease; PK=pharmacokinetics; PR=partial response; SD=stable disease

## PK, Cytokines & ctDNA

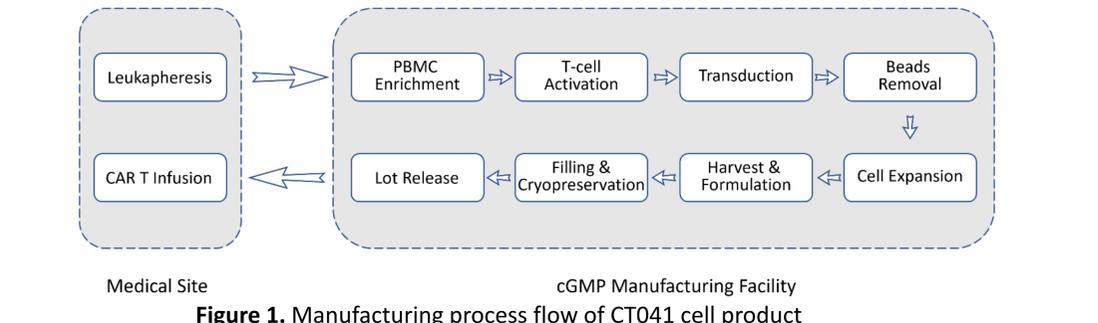
- No significant difference in copy number (Figure 3) or cytokine AUCs between DLs. Copy number peaked day 3–7
- Rapid ctDNA reduction post CT041 (DL2) in one PC patient with SD up to Week 8 (Figure 4)



**Figure 3.** Average (SD) of CT041 vector copy number over time by dose level.



**Figure 4.** ctDNA reduction post CT041 in patient with SD and tumor shrinkage.



## Results

- As of 06-May-2022, 14 patients have enrolled (5 GC, 9 PC) with a median of 3 prior lines of therapy (range 1-5) and having received 18 total cycles of CT041
- Dose levels (DL): DL1: 2.5-3.0x10<sup>8</sup> cells (n=6), DL2: 3.75-4.0x10<sup>8</sup> cells (n=6), and DL3: 6.0x10<sup>8</sup> cells (n=2)

## Conclusion

In heavily pre-treated gastric cancer, CT041 CLDN18.2 CAR T cells may have significantly improved anti-tumor activity compared to historical treatment regimens.

**Acknowledgement:** Thank you to the participating centers, volunteers, sponsor.  
**References**  
 (1) Jiang H et al. *JNCI* (2019). <https://doi.org/10.1093/jnci/djy134>;  
 (2) Qi C et al. *Nat Med* (2022). <https://doi.org/10.1038/s41591-022-01800-8>.

